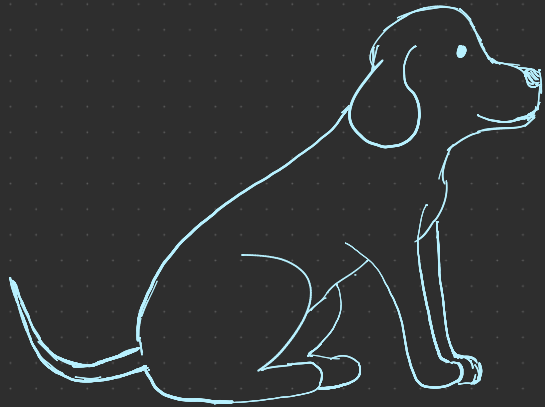
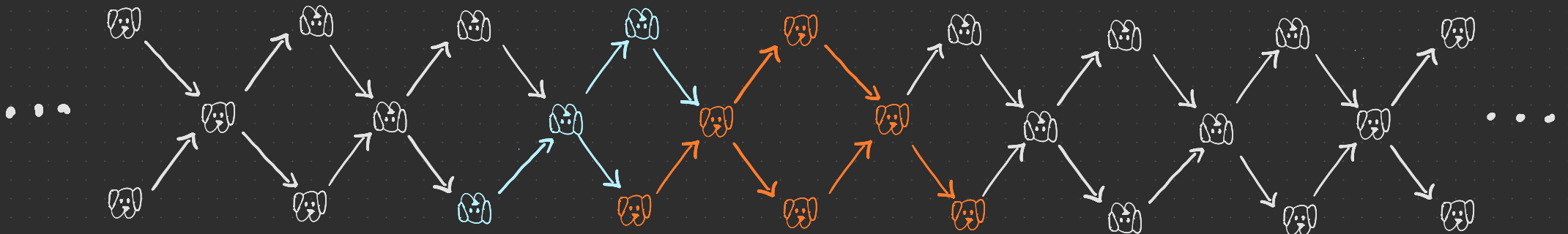


# The preprojective algebra of a quiver



Jon Cheah, University of Hong Kong  
joncheah@connect.hku.hk

DOGS seminar, 30 Apr 2024



# Motivation?

Captures "orientation  
invariant" rep theory

Cluster algebras  
on flag strata

[Geiss-Leclerc-Schröer]



McKay correspondence  
& Kleinian singularities

[Crawley-Boevey - Holland]

$\#\{\text{ind. } \Lambda\text{-modules}\}$

$= \#\{\text{cluster variables on } \mathbb{C}(N)\}$

Enveloping algebras  $U(\mathfrak{n})$

& semicanonical bases  
[Lusztig]

# Initial motivation?

$Q = (Q_0, Q_1, s, t)$  a quiver. (Locally finite)

Bernstein, Gelfand, and Ponomarev introduced

- reflection functors  $F_i^\pm : kQ\text{-mod} \rightarrow kQ'\text{-mod}$
- Coxeter functors  $\Phi^\pm = F_{x_n}^\pm F_{x_{n-1}}^\pm \cdots F_{x_1}^\pm : kQ\text{-mod} \rightarrow kQ\text{-mod}$

so named because they mimic actions of simple reflections and the Coxeter element in the Weyl group.

# Initial motivation?

Gelfand and Ponomarev sought an algebra which

- contains  $kQ$  as a subalgebra
- as a  $kQ$ -module, decomposes as a direct sum of certain\* indecomposable  $kQ$ -modules.

\*those  $V$  for which  $(\Phi^+)^d(V)$  is projective, for some  $d \geq 0$ .

These would later come to be known as preprojective modules.

# Gelfand & Ponomarev's construction

Doubled quiver  $\bar{Q}$ ,

$$\bar{Q}_0 = Q_0$$

$$\bar{Q}_1 = Q_1 \amalg Q_1^*$$

$$\forall a \in Q_1, a: s(a) \rightarrow t(a)$$

$$a^* \in Q_1^*, a^*: t(a) \rightarrow s(a)$$

"opposite arrow"

$\mathcal{J}$  two-sided ideal of  $k\bar{Q}$  gen. by:

If  $Q_0$  finite,

$$\mathcal{J} \text{ is principal gen. by } m_i = \sum_{\substack{a \in Q_1 \\ s(a) = i}} a^* a - \sum_{\substack{b \in Q_1 \\ t(b) = i}} b b^* \quad \forall i \in Q_0$$

$$c = \sum_{i \in Q_0} m_i = \sum_{a \in Q_1} [a^*, a] = \sum_{a \in Q_1} a^* a - a a^*$$

Note  $\forall i \in Q_0$ ,

$$e_i c e_i = m_i.$$

where  $e_i$  is idempotent associated to vertex  $i$

$$\Lambda = \Lambda_Q := k\bar{Q}/\mathcal{J}$$

# Eg: Type $A_3$

$$b: 1 \rightarrow 2 \quad a: 2 \rightarrow 3$$

$$ab: 1 \rightarrow 2 \rightarrow 3$$

$$Q: 1 \xrightarrow{b} 2 \xrightarrow{a} 3$$

$$kQ = \langle e_1, e_2, e_3, a, b, ab \rangle$$

$$\overline{Q}: 1 \begin{array}{c} \xrightarrow{b} \\ \xleftarrow{b^*} \end{array} 2 \begin{array}{c} \xrightarrow{a} \\ \xleftarrow{a^*} \end{array} 3$$

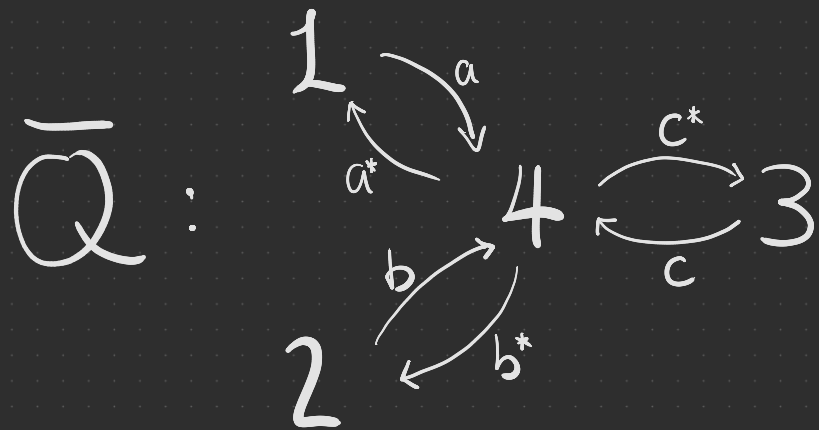
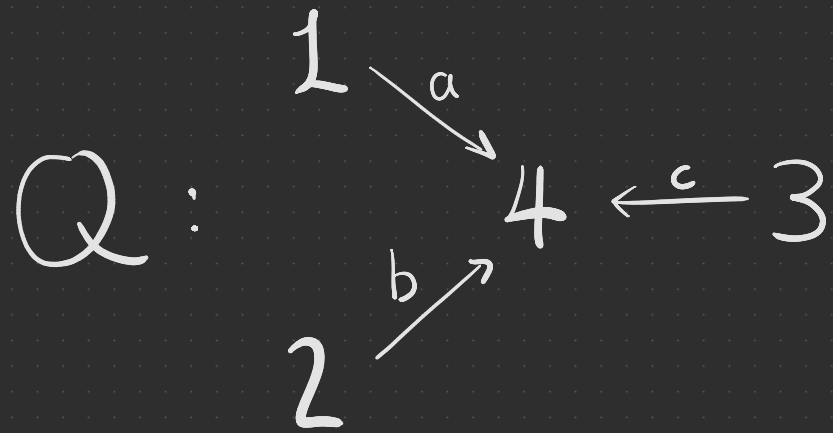
$$m_1 = b^*b$$

$$m_2 = a^*a - bb^*$$

$$m_3 = -aa^*$$

$$\Lambda = k\overline{Q}/J = \langle e_1, e_2, e_3, a, b, ab, a^*, b^*, a^*a - bb^*, b^*a^* \rangle$$

# Eg: Type $D_4$



$$kQ = \langle e_1, e_2, e_3, e_4, a, b, c \rangle$$

$$m_1 = a^*a, \quad m_2 = b^*b, \quad m_3 = c^*c$$

$$m_4 = -\underline{(aa^* + bb^* + cc^*)}$$

$$\wedge = \left\langle \begin{array}{l} e_i, x, \quad e_4, x^* \\ \times 3, \quad \times 3 \\ x^*y, \quad ca^*, bb^* \\ \times 6 \\ xx^*y, \quad x^*yy^* \\ \times 3 \\ y^*xx^*y, \quad xx^*yy^* \\ \times 3 \end{array} \right\rangle$$

$$a^*b^*c = \underset{by, m_4}{a^*} \underline{(-aa^* - cc^*)} \underset{by, m_1, m_3}{c} = 0$$

# Baer-Geigle-Lenzing:

$\Phi \stackrel{AR}{=} \tau$  AR-translate  
"Agree up to a sign change"

Simply define  $\Lambda = \bigoplus_{d \geq 0} \text{Hom}_{kQ}(kQ, \tau^{-d}(kQ))$

with algebra structure

$$g: kQ \rightarrow \tau^{-d'}(kQ), \quad f: kQ \rightarrow \tau^{-d}(kQ)$$

$$g * f = \tau^{-d}(g) \circ f : kQ \rightarrow \tau^{-d}(kQ) \rightarrow \tau^{-(d+d')}(kQ)$$



GP  $\overset{?}{\longleftrightarrow}$  BGL Equivalent by [Ringel]

Why does  $\Lambda = k\bar{Q}/J$  satisfy the desired properties?

Assign a grading to  $k\bar{Q}$ :  
 $e_i, a$  degree 0 for  $i \in Q_0, a \in Q_1$   
 $a^*$  degree 1 for  $a \in Q_1$   
 $\uparrow$  [Kleiner]

$J$  is homogeneous, gen. by degree 1, gives a grading on  $\Lambda$ .

$kQ = \Lambda_0$  subalg of deg 0 elements.

Decomposition:

$$\Lambda = \bigoplus_{d \geq 0} \bigoplus_{i \in Q_0} \Lambda_d e_i = \bigoplus_{d \geq 0} \bigoplus_{i \in Q_0} e_i \Lambda_d$$

# Eg: Type $A_3$

$$Q: 1 \xrightarrow{b} 2 \xrightarrow{a} 3$$

$$kQ = \langle e_1, e_2, e_3, a, b, ab \rangle$$

$$\overline{Q}: 1 \begin{array}{c} \xrightarrow{b} \\ \xleftarrow{b^*} \end{array} 2 \begin{array}{c} \xrightarrow{a} \\ \xleftarrow{a^*} \end{array} 3$$

$$\Lambda = \langle \underline{e_1, e_2, e_3, a, b, ab}, a^*, b^*, a^*a = bb^*, b^*a^* \rangle$$

$$\Lambda_0 = kQ$$

$$\Lambda_0 e_1: k \rightarrow k \rightarrow k$$

$$\Lambda_1 e_2: k \rightarrow k \rightarrow 0$$

$$\Lambda_0 e_2: 0 \rightarrow k \rightarrow k$$

$$\Lambda_1 e_3: 0 \rightarrow k \rightarrow 0$$

$$\Lambda_0 e_3: 0 \rightarrow 0 \rightarrow k$$

$$\Lambda_2 e_3: k \rightarrow 0 \rightarrow 0$$

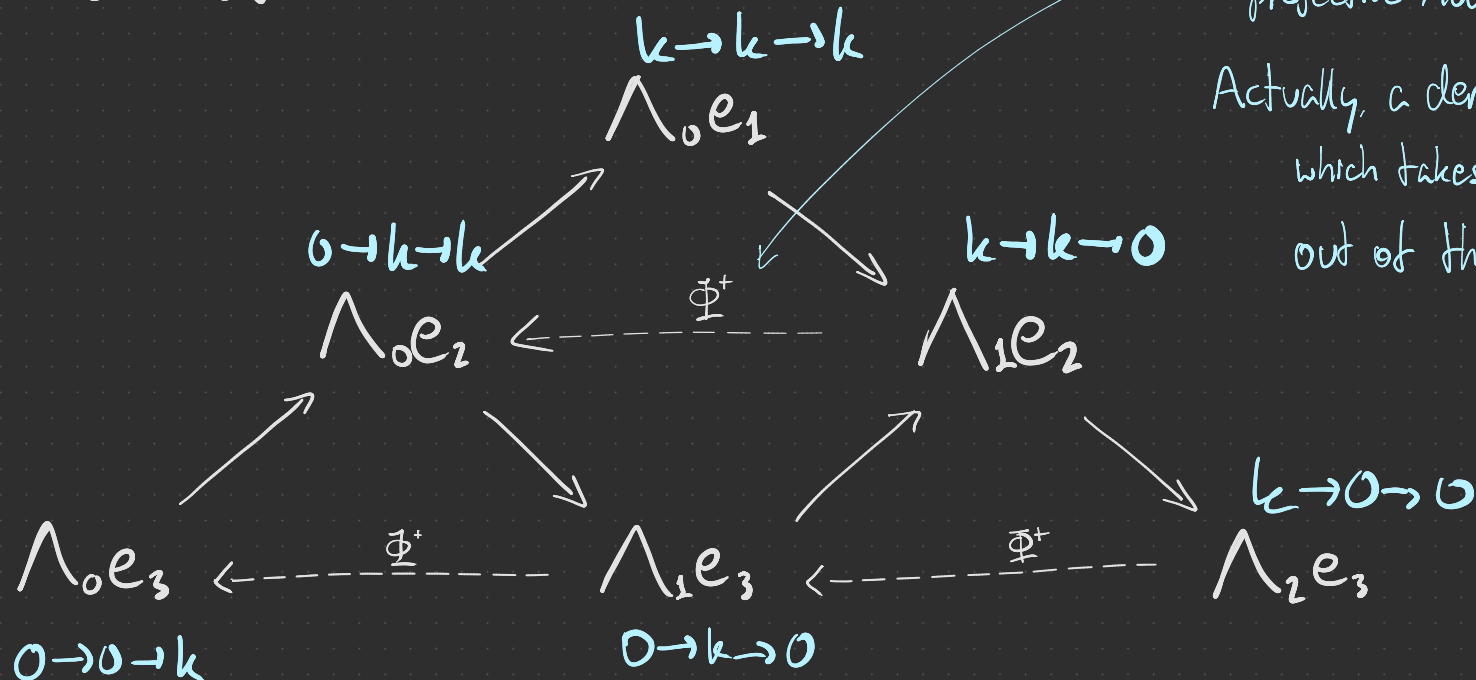
These are the indecomposable modules of  $kQ$ !

# Eg: Type A<sub>3</sub>

$$Q: 1 \xrightarrow{b} 2 \xrightarrow{a} 3 \quad kQ = \langle e_1, e_2, e_3, a, b, ab \rangle$$

$$\Lambda = \langle e_1, e_2, e_3, a, b, ab, a^*, b^*, a^*a = bb^*, b^*a^* \rangle$$

AR-quiver of  $kQ$ -mod:



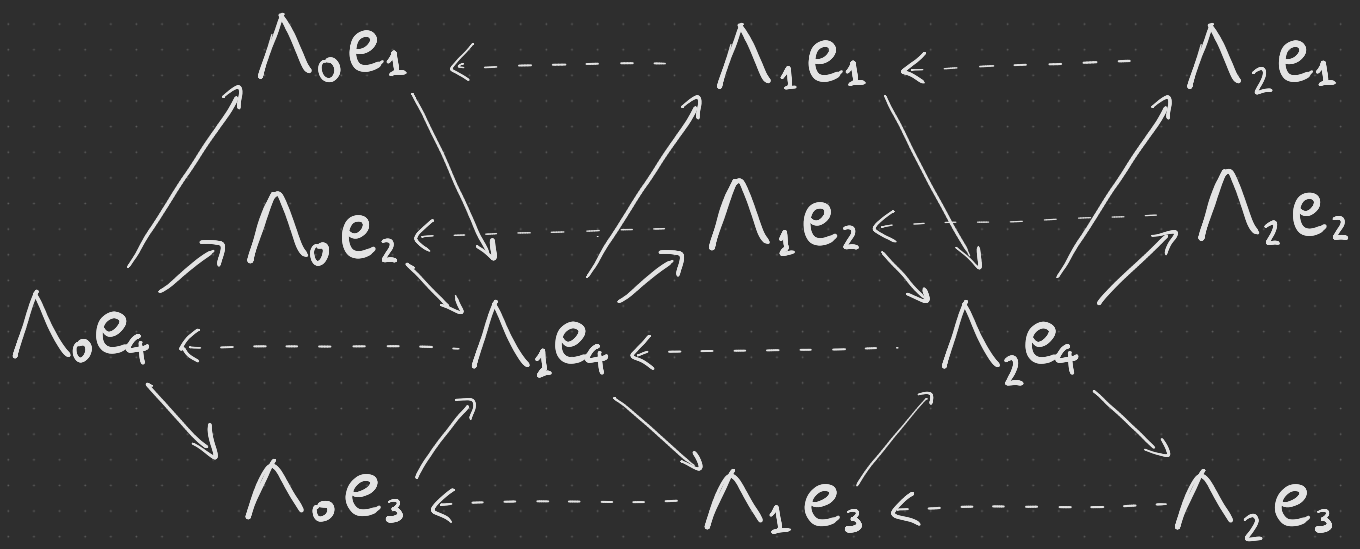
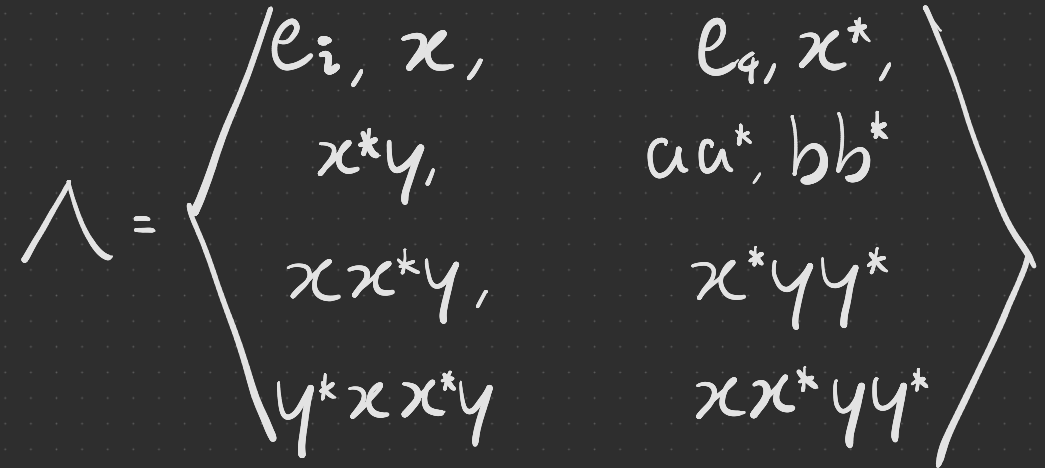
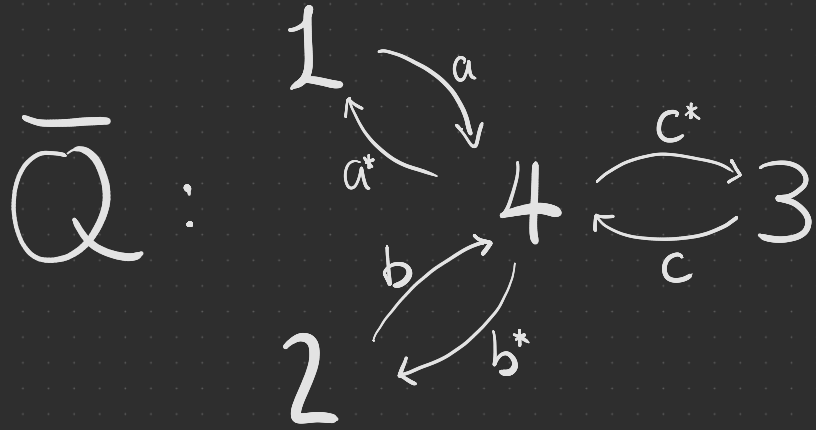
Coxeter functor takes projective modules to 0

Actually, a derived equiv. which takes projectives out of the heart.

# Eg: Type $D_4$

$$m_1 = a^*a, \quad m_2 = b^*b, \quad m_3 = c^*c$$

$$m_4 = -(aa^* + bb^* + cc^*)$$



# Eg: Kronecker $K_2$

$$Q: 1 \begin{array}{c} \xrightarrow{a} \\ \xleftarrow{b} \end{array} 2$$

$$\bar{Q}: 1 \begin{array}{c} \xrightarrow{a} \\ \xrightarrow{a^*} \\ \xleftarrow{b^*} \\ \xleftarrow{b} \end{array} 2$$

$$\Lambda_0 e_2: 0 \Rightarrow k, \quad \Lambda_1 e_2: k^2 \Rightarrow k^3, \quad \dots, \quad \Lambda_d e_2: k^{2d} \Rightarrow k^{2d+1}$$
$$\Lambda_0 e_1: k \Rightarrow k^2, \quad \Lambda_1 e_1: k^3 \Rightarrow k^4, \quad \dots, \quad \Lambda_d e_1: k^{2d+1} \Rightarrow k^{2d+2}$$

does not include  $k \Rightarrow 0$  injective

# Upgrading tools

Coxeter functor:  $\underline{\Phi}^\pm = F_{x_1}^\pm \circ \dots \circ F_{x_n}^\pm$

for  $(x_1, \dots, x_n)$  an "admissible" seq. of sources/sinks

↓ Brenner-Butler-Gabriel

Auslander-Reiten translate:  $\underline{\tau} = D \underline{\text{Tr}}$  <sup>Transpose</sup>

$\underline{\tau} = \underline{\text{Tr}} D$  <sub>v.s. dual</sub>

↓ Happel-Reiten-Van den Bergh

Derived AR-translate:  $\tau = S[-1]$

$\tau^{-1} = S^{-1}[1]$

# Serre functors

Def: In a Hom-finite  $k$ -linear triang. cat.  $\mathcal{D}$

a Serre functor is a triangle equivalence  $S: \mathcal{D} \rightarrow \mathcal{D}$

with bifunctorial  $\phi_{X,Y}: \text{Hom}(X,Y) \xrightarrow{\sim} \text{Hom}(Y, S(X))^*$

$\downarrow \sim$   
 $\text{Hom}(S^{-1}(Y), X)^*$  for inverse Serre functor

Reiten & Van den Bergh showed that

$S$  exists  $\iff \mathcal{D}$  has AR-triangles.

# Serre functors on $D^b(A\text{-mod})$ ( $A = kQ$ )

If  $A$  is a fin. dim.  $k$ -alg:

$$S = A^* \overset{k\text{-v.s. dual}}{\underset{\circlearrowleft}{\otimes}}_A - , \quad S^{-1} = \text{RHom}_A(A^*, A) \overset{\circlearrowleft}{\otimes}_A -$$

If  $A$  is homologically smooth,

(i.e.  $A$  admits a finite resolution by f.g. projective bimodules)

$$S = \text{RHom}_A(\Theta, -) , \quad S^{-1} = \Theta \overset{\circlearrowleft}{\otimes}_A -$$

where  $\Theta = \text{RHom}_{A^e}(A, A^e)$  ,  $A^e = A \otimes A^{\text{op}}$ .



# Bimodule resolution of $A = kQ$

$A_0 := kQ_0 = k^{|\alpha_0|}$ ,  $A_1 = k^{|\alpha_1|}$ ,  $A$  and  $A_1$  are  $A_0$ - $A_0$  bimods.

$$0 \rightarrow A \otimes_{A_0} A_1 \otimes_{A_0} A \xrightarrow{\phi} A \otimes_{A_0} A \xrightarrow{\mu} A \rightarrow 0$$

where  $\mu: a \otimes b \mapsto ab$

$$\phi: 1 \otimes a \otimes 1 \mapsto a \otimes 1 - 1 \otimes a$$

$$\begin{aligned} \ker \mu &= \langle w \otimes 1 - 1 \otimes w \rangle_{A-A} \\ &= \langle a \otimes 1 - 1 \otimes a \rangle \end{aligned}$$

# Bimodule resolution of $A = kQ$

$$0 \rightarrow A \otimes_{A_0} A_1 \otimes_{A_0} A \xrightarrow{\phi} A \otimes_{A_0} A \xrightarrow{\mu} A \rightarrow 0$$

$$\phi: 1 \otimes a \otimes 1 \mapsto a \otimes 1 - 1 \otimes a$$

$$\Theta = \mathcal{R} \text{Hom}_{A^e}(A, A^e)$$

Apply  $\text{Hom}_{A^e}(-, A^e)$ ,

$$\text{Hom}_{A^e}(A \otimes_{A_0} A, A^e) \xrightarrow{\phi^*} \text{Hom}_{A^e}(A \otimes_{A_0} A_1 \otimes_{A_0} A, A^e)$$

$$\begin{array}{c} [\varphi_{a \otimes b}: e_i \otimes e_i \mapsto e_i \otimes a e_i] \\ \uparrow \\ a \otimes b \end{array}$$

$$\begin{array}{c} \uparrow \text{is} \\ A \otimes_{A_0} A \end{array}$$

$$\longrightarrow$$

$$\begin{array}{c} [\psi: e_{t(a)} \otimes a \otimes e_{s(a)} \mapsto e_{t(a)} a' \otimes a'' \otimes e_{s(b)}] \\ \downarrow \text{is} \\ \sum_a a'_\psi \otimes a^* \otimes a''_\psi \end{array}$$

$$A \otimes_{A_0} A_1^* \otimes_{A_0} A$$

$$1 \otimes 1 \mapsto \sum_{a \in Q_1} 1 \otimes a^* \otimes a - a \otimes a^* \otimes 1$$

dual "flips"  $A_0$  left/right actions

$$e_j \cdot a^* \cdot e_i(x) = a^*(e_i x e_j)$$

# Bimodule resolution of $A = kQ$

So  $\Theta = \mathcal{R}Hom_{A^e}(A, A^e) \cong$

$$0 \rightarrow A \overset{0}{\otimes}_{A_0} A \longrightarrow A \overset{1}{\otimes}_{A_0} A_1^* \otimes_{A_0} A \rightarrow 0$$

$$1 \otimes 1 \longmapsto \sum_{a \in Q_1} 1 \otimes a^* \otimes a - a \otimes a^* \otimes 1$$

Observe  $H^0(\Theta[1]) = \Lambda_1 = \tau^-(kQ)$

$$= \bigoplus_{d \geq 0} (\Theta[1])^{\otimes d} \text{ with } \Theta[1]^{\otimes 0} = kQ$$

Tensor algebra  $\Pi = T_{\underline{kQ}}(\Theta[1])$ .  $H^0(\Pi) = \Lambda$ .

  $\Pi \cong_{q.\text{iso}} \Lambda_Q$  iff  $Q$  is non-dynkin

# Preprojective property or $\Phi$

It is also now clear why  $\tau(\Lambda_d e_i) = \Lambda_{d-1} e_i$

$$\tau^{-1}(\Lambda_d e_i) = \Theta[1] \bigotimes_A^L \Lambda_d e_i$$

$$= \left[ A \otimes_{A_0}^{\perp} \Lambda_d e_i \longrightarrow A \otimes_{A_0}^{\perp} A_1^* \otimes_{A_0} \Lambda_d e_i \right]$$
$$1 \otimes x \longmapsto \sum_{a \in Q_1} 1 \otimes a^* \otimes ax - a \otimes a^* \otimes x$$

has cohomology  $\Lambda_{d+1} e_i$  in degree 0.

Sketch:

# Tilting & Reflections



# Reflection functors

For  $x \in Q_0$  a sink, have



$$F_x^+ : kQ\text{-mod} \rightarrow k(\sigma_x Q)\text{-mod}, (V_i, \varphi_a) \mapsto (\tilde{V}_i, \tilde{\varphi}_a)$$

- $\tilde{V}_i = V_i \quad \forall i \neq x, \quad \tilde{\varphi}_{a^*} = \varphi_a \quad \text{if } t(a) \neq x$

- $0 \rightarrow \tilde{V}_x \rightarrow \bigoplus_{\substack{a \in Q_1 \\ t(a)=x}} V_{s(a)} \xrightarrow{\oplus \varphi_a} V_x \rightarrow 0$

Dually,  $F_x^- : kQ\text{-mod} \rightarrow k(\sigma_x Q)\text{-mod}$  for  $x \in Q_0$  source.

# Tilting hearts of t-structures

A heart of a bounded t-structure on  $\mathcal{D}$

Given torsion pair  $(\mathcal{T}, \mathcal{F})$  on  $\mathcal{A}$ ,

can "tilt" to a new heart  $\mathcal{B} = \langle \mathcal{F}[1], \mathcal{T} \rangle_{\text{ext}}$

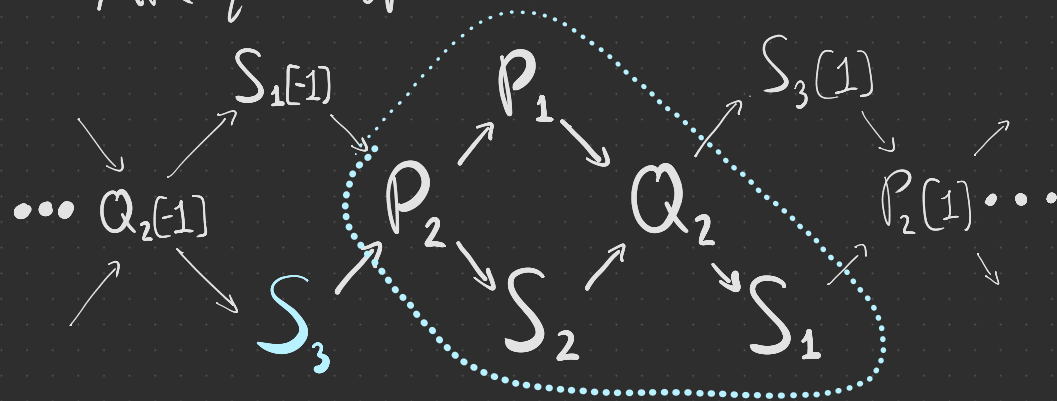
# Reflections as tilts

$F_x^+$  turns out to be tilting wrt

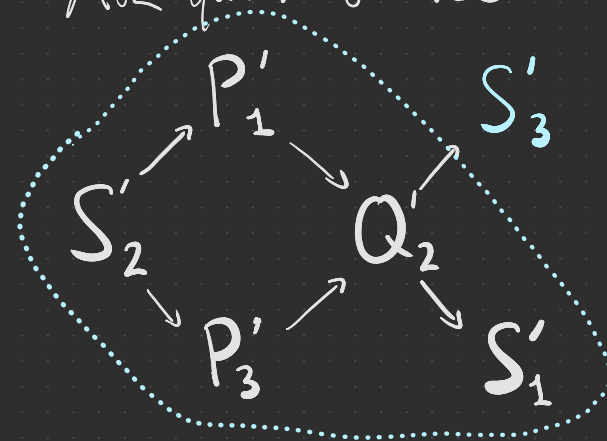
the torsion pair  $(\mathcal{T}, \mathcal{F}) = (\text{}^+S_x, \text{add } S_x)$   
 $\{X \in \mathcal{A} \mid \text{Hom}(X, S_x) = 0\}$

Eg:  $Q: 1 \rightarrow 2 \rightarrow 3, x=3, \quad Q' = \sigma_x Q: 1 \rightarrow 2 \leftarrow 3$

AR-quiver of  $D^b(kQ)$



AR-quiver of  $kQ'$





# Composition of tilts

A heart of a bdd t-str on a triang. cat  $\mathcal{D}$

$A \rightarrow B$  tilt at  $(\mathcal{T}, \mathcal{F})$  followed by

$B \rightarrow \mathcal{C}$  tilt at  $(\mathcal{T}', \mathcal{F}')$  with  $\mathcal{F}' \subset \mathcal{T}$

is given by  $A \rightarrow \mathcal{C}$  tilt at  $(\mathcal{T}'', \mathcal{F}'')$ ,

where  $\mathcal{T}'' = \mathcal{T} \cap \mathcal{T}'$ ,  $\mathcal{F}'' = \{X \in \mathcal{A} \mid t(X) \in \mathcal{F}'\}$

# Composition of tilts

Es:  $Q: 1 \rightarrow 2 \rightarrow 3$

$Q': 1 \rightarrow 2 \leftarrow 3$

$Q'': 1 \leftarrow 2 \rightarrow 3$

$\mathcal{A}$

$\mathcal{B}$

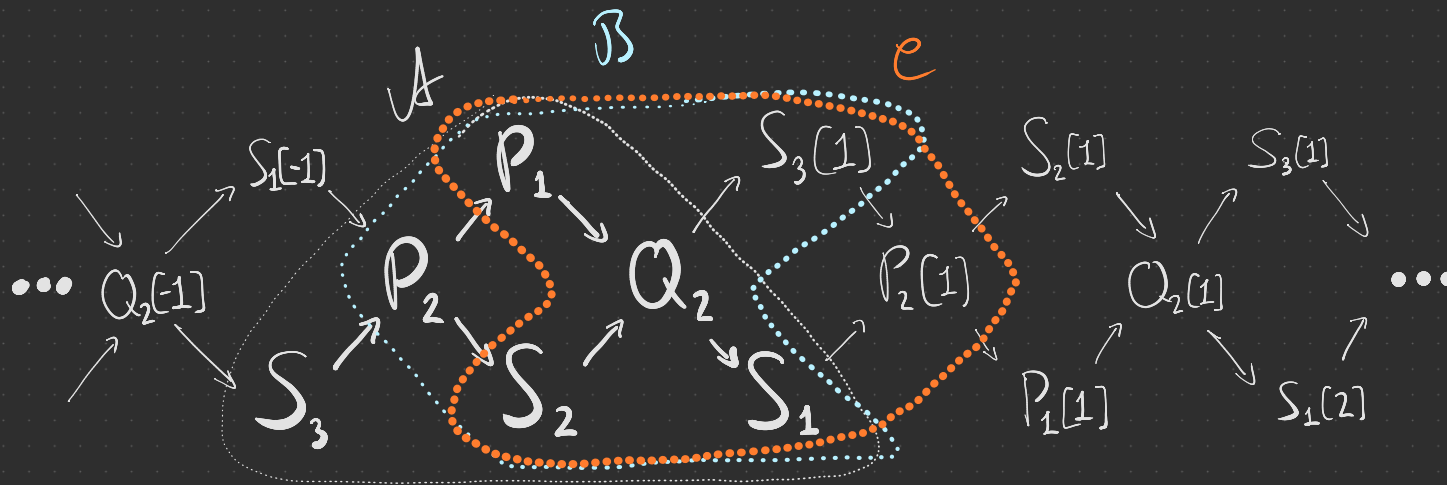
$\mathcal{C}$

tilt at  $(^+S_3, \text{add } S_3)$

tilt at  $(^+S_2, \text{add } S_2)$

tilt at

$(^+S_3, P_2), \text{add}(P_2 \circ S_3)$



- The compatibility  $\mathcal{F}' \subset \mathcal{T}$  is satisfied for admissible reflection functors.
- View the derived Coxeter functor as a composition of tilts
- This will be a shift of the Serre functor.

# Generalisations

- Higher [Iyama-Oppermann] "gl.dim  $> 1$ "
- Ginzburg [Ginzburg, Keller-Yang] "different dg-alg, better CY properties"
- Deformed [Crawley-Boevey-Holland] "Deform the relation, related to deformed Kleinian sing."
- Multiplicative [Crawley-Boevey-Shaw, Kaplan-Schedler] "Localise by commutators"